

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/943,905	08/31/2001	Simon McGuire	6311-51

CONFIRMATION NO. 2082

## FORMALITIES LETTER



\*OC000000006846522\*

Clifford Chance Rogers & Wells LLP  
200 Park Avenue  
New York, NY 10166-0153

Date Mailed: 10/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

M.K.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/17/2002 BABRAHA1 00000031 500521 09943905

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MP # (3)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

Date: Apr 04, 2002

Name:

Signature:

Melissa Scanzillo  
Melissa Scanzillo  
Clifford Chance Rogers & Wells LLP

Docket No. 6311-51

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Simon McGuire

Filed: August 31, 2001

Group Art Unit: 2161

Serial No: 09/943,905

Examiner: to be determined

For: COMPUTER SYSTEM AND A METHOD FOR  
MANAGING A FINANCIAL TRANSACTION

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Commissioner for Patents  
**BOX: MISSING PARTS**  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of NonProvisional Application (copy enclosed)  
dated October 4, 2001 received for the above identified application, enclosed please find the following:

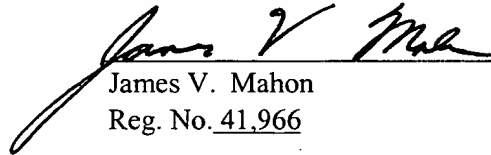
1. ☒ Executed Declaration and Power of Attorney  
☐ Copy of Executed Declaration and Power of attorney from a prior application
2. ☒ A petition for Extension of Time

Please make the Declaration and Power of Attorney of record in the above-identified application.

The Commissioner is hereby authorized to charge the late submission surcharge of \$130.00 and any additional fee, or credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this transmittal is enclosed.

Date: Apr 04, 2002

Respectfully submitted,

  
James V. Mahon  
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